

INI-0031-D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Roy E. Moore, Jr. et al.)
)
Filed: December 5, 2003)
)
For: PLASTIC PALLET DESIGN)

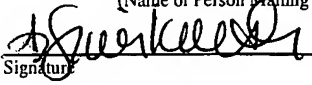
INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose, applicants herein apprise the Patent Office of prior art in a parent U.S. application from which this application obtains the benefit of an earlier filing date under 35 U.S.C. § 120. The Serial Number of the parent application is USSN 09/938,954 filed August 24, 200, entitled Plastic Pallet Design. The publications cited therein are listed on attached Form PTO-1449.

In accordance with 37 CFR § 1.98 (d) copies of the listed publications are not required.

I certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Label No. EV 299530072 US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on	
December 5, 2003 (Date of Deposit)	
Agatha Skierkowski (Name of Person Mailing Paper)	
 Signature	12/5/03 Date

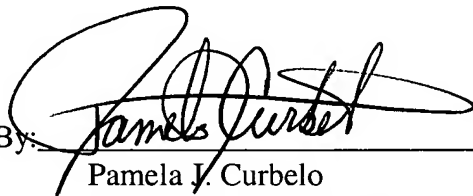
Consideration of this Information Disclosure Statement is respectfully requested.

Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application, no fee or certification under 37 CFR § 1.97 (e) is required.

However, in the event the Commissioner of Patents deems that any fee is required under 37 CFR §§ 1.16 and 1.17 in connection with this application, applicant's attorneys authorize that such fee be charged to Deposit Account No. 06-1130.

Respectfully submitted,

CANTOR COLBURN LLP

By: 

Pamela J. Curbelo
Registration No. 34,676
Cantor Colburn LLP
55 Griffin Road South
Bloomfield, CT 06002
Telephone: (860) 286-2929
Facsimile: (860) 286-0115
Customer No. 23413

Date: December 5, 2003

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

INI-0031D

SERIAL NO.

NYA

Ronald P. Brochu et al.

FILING

December 5, 2003

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	RE 32,344	2/3/87	Wind	206	599	9/28/84
	D. 231,096	4/2/74	Adam	D14	3N	3/23/73
	D. 232,019	7/9/74	Rehrig et al.	D12	53	10/1/71
	D. 246,296	11/8/77	Fujii et al.	D12	53	4/27/71
	D. 346,681	5/3/94	Pigott et al.	D34	38	4/21/93
	D. 347,511	5/31/94	Pigott et al.	D34	38	9/2/93
	D. 354,606	1/17/95	Pigott et al.	D34	38	11/24/93
	D. 364,030	11/7/95	Pigott et al.	D34	38	6/7/94
	D. 370,763	6/11/96	Pigott et al.	D34	38	4/14/95
	D. 371,882	7/16/96	Kristoffersson	D34	38	6/14/95
	D. 372,192	7/30/96	Pigott et al.	D8	499	4/14/95

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 90/01448	2/22/90	WIPO	B65D	19/32		
	WO 94/08861	4/28/94	WIPO	B65D	19/32		
	DE 27 33 457	2/15/79	Germany	B65D	19/32		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. INI-0031-D	SERIAL NO. NYA
	Ronald P. Brochu et al.	
	FILING December 5, 2003	GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	D. 378,458	3/11/97	Pigott et al.	D34	38	1/17/95
	D. 398,731	9/22/98	Pigott et al.	D34	38	9/30/97
	D. 398,732	9/22/98	Pigott et al.	D34	38	9/30/97
	1,910,781	5/23/33	Way			3/5/31
	2,544,657	3/13/51	Cushman	248	120	9/5/47
	2,699,912	1/18/55	Cushman	248	120	10/6/53
	2,828,933	4/1/58	De Pew et al.	248	120	11/21/55
	2,908,464	10/13/59	Traudt et al.	248	120	1/26/56
	2,925,978	2/23/60	Marso	248	120	11/27/57
	2,991,965	7/11/61	Drieborg	248	120	3/23/59
	3,126,843	3/31/64	De Laney	108	52	7/9/62

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. INI-0031p	SERIAL NO. NYA
	Ronald P. Brochu et al.	
	FILING December 5, 2003	GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,152,693	10/13/64	Anderson	206	60	2/12/63
	3,187,689	6/8/65	Hess	108	58	10/19/62
	3,307,504	3/7/67	Cloyd et al.	108	58	12/1/65
	3,424,110	1/28/69	Toot	108	53	2/3/67
	3,467,032	9/16/69	Rowlands et al.	108	51	8/17/67
	3,481,285	12/2/69	Yellin	108	58	3/7/68
	3,580,190	5/25/71	Fowler	108	58	1/7/70
	3,602,157	8/31/71	Cohen et al.	108	51	9/30/68
	3,603,272	9/7/71	Ditges	108	51	12/20/68
	3,606,844	9/21/71	Lubker II et al.	108	58	10/30/69
	3,613,605	10/19/71	Holdredge	108	58	2/1/70

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
--

EXAMINER	DATE CONSIDERED
-----------------	------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

INI-003f D

SERIAL NO.

NYA

Ronald P. Brochu et al.

FILING

December 5, 2003

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,638,586	2/1/72	Elshout	108	58	6/5/70
	3,640,229	2/8/72	Bell	108	58	10/6/69
	3,651,769	3/28/72	Foley	108	56	11/3/69
	3,654,874	4/11/72	Skinner	108	51	4/28/70
	3,664,271	5/23/72	Wolder et al.	108	51	12/15/69
	3,675,595	7/11/72	Sullivan	108	51	4/20/70
	3,678,868	7/25/72	Hirota	108	51	3/18/70
	2,643,081	6/23/53	Spring	248	120	7/11/50
	3,267,884	8/23/66	Lessheim	108	54	9/7/65
	3,380,403	4/30/68	Sullivan	108	51	3/13/67
	3,757,704	9/11/73	Allgeyer et al.	108	51	6/21/72

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

Docket Number (Optional)
INI-0031 D

Application Number
NYA

Applicant(s)
Ronald P. Brochu et al.

Filing Date
December 5, 2003

Group Art Unit

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,680,495	8/1/72	Pike	108	53	10/12/70
		3,680,496	8/1/72	Westlake, Jr.	108	58	6/8/70
		3,683,823	8/15/72	Schmid	108	156	4/1/71
		3,685,461	8/22/72	Belcher	108	51	10/30/70
		3,685,463	8/22/72	Francis	108	56	1/18/71
		4,159,681	7/3/79	Vandament	108	51.1	10/3/77
		4,735,154	4/5/88	Hemery	108	56.1	12/3/86
		5,052,307	10/1/91	Morrison	108	53.1	10/26/89
		5,178,075	1/12/93	Kanazawa	108	56.1	7/11/91
		5,413,052	5/9/95	Breezer et al	108	56.1	3/29/93
		5,551,353	9/3/96	Fiedler	108	51.3	6/29/94

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

INI-00 31D

SERIAL NO.

NYA

Ronald P. Brochu et al.

FILING

December 5, 2003

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,699,901	10/24/72	Cook, III	108	58	7/23/70
	3,699,902	10/24/72	Allgeyer et al.	108	58	5/5/71
	3,710,733	1/16/73	Story	108	51	3/2/71
	3,720,176	3/13/73	Munroe	108	58	8/13/70
	3,759,194	9/18/73	Fujii et al.	108	58	12/7/71
	3,795,206	3/5/74	Utz	108	51	2/1/73
	3,814,031	6/4/74	Fowler	108	51	5/26/72
	3,824,933	7/23/74	Lind	108	51	9/14/72
	3,835,792	9/17/74	Wharton	108	51	10/30/72
	3,868,915	3/4/75	Hafner	108	51	12/27/72
	3,878,796	4/22/75	Morrison	108	56	12/5/73

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. INI-003¹D	SERIAL NO. NYA
	Ronald P. Brochu et al.	
	FILING December 5, 2003	GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,916,803	11/4/75	Garcia	108	51	1/16/74
	3,917,066	11/4/75	Cloyd	206	386	3/22/73
	3,921,279	11/25/75	Daley	29	458	8/20/74
	3,938,448	2/17/76	Nishitani et al.	108	58	7/6/73
	3,964,400	6/22/76	Brand	108	51	8/28/74
	3,994,241	11/30/76	Evans	108	53.5	10/6/75
	4,002,126	1/11/77	Bell et al.	108	53.5	11/11/74
	4,013,021	3/22/77	Steinlein et al.	108	51.1	1/20/75
	4,051,787	10/4/77	Nishitani et al.	108	55.3	9/29/75
	4,103,857	8/1/78	Levenhagen	248	346	5/6/77
	4,133,270	1/9/79	Ravera	108	51.1	7/5/77

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	DATE CONSIDERED
-----------------	------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

ATTY DCKET NO.

INI-0031 D

SERIAL NO.

NYA

Ronald P. Brochu et al.

FILING

December 5, 2003

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,146,205	3/27/79	Skinner	248	346	2/5/73
	4,183,491	1/15/80	Sanders et al.	248	346	5/11/78
	4,220,100	9/2/80	Palomo et al.	108	51.1	2/28/79
	4,287,836	9/8/81	Aoki	108	51.1	5/24/79
	4,316,419	2/23/82	Cupido	108	56.1	12/20/78
	4,359,948	11/23/82	Judy et al.	108	56.1	4/7/80
	4,403,555	9/13/83	Forrest	108	51.1	6/11/81
	4,597,338	7/1/86	Kreeger	108	51.1	11/14/84
	4,606,278	8/19/86	Shuert	108	51.1	9/28/84
	4,735,154	4/5/88	Hemery	108	56.1	12/3/86
	4,742,781	5/10/88	Shuert	108	53.3	1/9/87

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. INI-0031 D	SERIAL NO. NYA
	Ronald P. Brochu et al.	
	FILING December 5, 2003	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,799,433	1/24/89	Luft	108	51.1	2/6/87
	4,843,976	7/4/89	Pigott et al.	108	56.1	8/9/88
	4,869,456	9/26/89	Jacobs	248	678	11/16/87
	4,951,821	8/28/90	Kempkes	206	453	10/18/89
	4,972,782	11/27/90	Shepherd et al.	108	52.1	11/23/88
	4,998,619	3/12/91	Sowa et al.	206	392	6/23/89
	5,042,396	8/27/91	Shuert	108	51.1	11/27/89
	5,046,434	9/10/91	Breezer et al.	108	51.1	5/2/90
	5,094,175	3/10/92	Christie	108	56.1	3/13/91
	5,097,951	3/24/92	Pigott et al.	206	386	10/16/90
	5,117,762	6/2/92	Shuert	108	51.1	3/12/91

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	TITLE / DESCRIPTION

EXAMINER	DATE CONSIDERED
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

INI-0031 D

SERIAL NO.

NYA

Ronald P. Brochu et al.

FILING

December 5, 2003

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,154,286	10/13/92	Gits	206	386	4/23/91
	5,195,439	3/23/93	Harder	108	51.1	3/24/92
	5,197,395	3/30/93	Pigott et al.	108	56.1	1/23/91
	5,205,221	4/27/93	Melin et al.	108	51.3	5/22/90
	5,337,681	8/16/94	Schrage	108	56.1	9/8/92
	5,343,814	9/6/94	Pigott et al.	108	56.1	10/15/92
	5,391,251	2/21/95	Shuert	156	292	1/28/92
	5,401,347	3/28/95	Shuert	156	245	12/18/92
	5,404,829	4/11/95	Shuert	108	51.1	5/21/91
	5,470,641	11/28/95	Shuert	428	178	12/14/93
	5,549,056	8/27/96	Jordan et al.	108	51.1	3/31/94

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.
INI-0031 D

SERIAL NO.
NYA

Ronald P. Brochu et al.

FILING
December 5, 2003

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,555,820	9/17/96	Shuert	108	51.1	4/24/95
	5,566,624	10/22/96	Brown et al.	108	51.1	8/15/95
	5,579,686	12/3/96	Pigott et al.	108	56.1	12/8/94
	5,596,933	1/28/97	Knight et al.	108	51.1	2/14/94
	5,638,760	6/17/97	Jordan et al.	108	51.1	7/1/96
	5,666,886	9/16/97	Alexander et al.	108	56.3	6/16/95
	5,676,064	10/14/97	Shuert	108	51.1	4/24/96
	5,755,162	5/26/98	Knight et al.	108	51.1	3/7/95
	5,778,801	7/14/98	Delacour	108	57.25	5/10/94
	5,791,262	8/11/98	Knight et al.	108	57.25	6/10/94
	5,836,255	11/17/98	Uitz	108	57.25	4/8/96

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

INI-0031 D

Application Number

NYA

Applicant(s)

Ronald P. Brochu et al.

Filing Date

December 5, 2003

Group Art Unit

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,845,588	12/8/98	Gronnevik	108	57.27	10/30/96
		5,868,080	2/9/99	Wyler et al.	108	57.25	11/18/96
		5,950,546	9/14/99	Brown et al.	108	56.1	12/13/96
		6,199,488 B1	3/13/01	Favaron et al.	108	57.25	10/7/97
		6,250,234 B1	6/26/01	Apps	108	57.25	7/1/98
		5,829,364	11/3/98	Urbach	108	56.1	6/1/95
		US 6,352,039 B1	3/5/02	Woods et al.	108	57.25	3/9/01

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.